

**REQUEST FOR STATEMENT OF QUALIFICATIONS (RFSQ)
COUNTY OF MERCED
DOWNTOWN REPLACEMENT JAIL
DESIGN-BUILD PROJECT**

SEPTEMBER 23, 2021

REQUEST FOR STATEMENT OF QUALIFICATIONS (RFSQ)

**COUNTY OF MERCED
DOWNTOWN REPLACEMENT JAIL PROJECT DESIGN-BUILD**

The County of Merced (County) is seeking a qualified Design-Build Entity (D-BE) to design and construct a replacement of the existing Board of State and Community Corrections (BSCC) Rated Capacity 189 Bed Downtown Jail at the existing John Latorraca Correctional Center (JLCC) facility.

This project will design and construct a replacement of the existing downtown jail at JLCC facility located at 2584 W. Sandy Mush Road, approximately 10 miles southwest of the City of Merced, on approximately 1.13+/- acres of the greater 140+/- acres of County-owned land. The project includes replacement of the downtown jail with up to a new 256 bed jail at approximately 61,000 SF and facility staff support building of approximately 5,500 SF. The jail replacement project will be constructed of durable materials suitable for a jail environment the staff administration building will be built of durable materials suitable for an office building.

In accordance with the provisions of the Public Contract Code, the County is utilizing a two-step process to prequalify and award a contract to a D-BE for the project. In this first step, the County is issuing the attached RFSQ with Pre-qualification Questionnaire. Based on criteria stated in the Pre-qualification Questionnaire, the County will score and rank all submittals. Design Build Entity (DBE) with up to the three (3) highest scores will be eligible to participate in the second step in the process, the Request for Proposal (RFP).

RFSQ CONTENT

This RFSQ package includes the following:

Cover Letter

Table of Contents

Section A – RFSQ Information

Prequalification Requirements

RFSQ Process

Submittal Requirements

Evaluation of Prequalification Documents

Section B – Prequalification Questionnaire

Prequalification Questionnaire

Surety Declaration and Certification

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DUE DATE AND TIME

The Due Date and Time is OCTOBER 21, 2021, 4:00 p.m.

A complete response to the RFSQ will consist of providing all information requested in the Prequalification Questionnaire. Your response, the Prequalification Document, must be submitted in a three-ring binder with tabs identifying Parts I through VI of the Prequalification Questionnaire. Attachments must be clearly identified under a separate tab. The front of the binder must indicate the D-BE's name and address in the following format:

REQUEST FOR STATEMENT OF QUALIFICATIONS (RFSQ) DESIGN-BUILD
Company Name and Address:
Project Name: County of Merced Downtown Replacement Jail Design-Build Project
Due Date and Time:

Submit one (1) original, and one (1) thumb drive (PDF format, with signature and notarized pages clearly scanned and inserted) of the Prequalification Document and attachments to the County's Project Manager. Prequalification Documents shall be submitted in a sealed envelope or package clearly marked on the exterior and in the same format noted above prior to the Due Date and Time.

This RFSQ does not commit the County to issue an RFP or award a contract. The County reserves the right to cancel this RFSQ at any time, in part or in its entirety, should this be in the best interest of the County.

Late submissions cannot be considered unless they are the only one received, weather delays (see 2.8.1) or there was mishandling on the part of the County project manager. Submittals must be received at the County's Project Manager Offices, by the identified Due Date and Time:

**CGL Companies
Cameron Glass
2260 Del Paso Road, Suite 100
Sacramento CA 95834**

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SCHEDULE

Below is the County’s anticipated schedule for this first step in the two-step process:

Event Description	Target Date(s)
RFSQ Issued	SEPTEMBER 23, 2021
Questions Deadline	SEPTEMBER 30 , 2021
Addendum Period	OCTOBER 7, 2021
Due Date and Time	OCTOBER 21, 2021
Notice of Prequalification Posted	NOVEMBER 1. 2021

The above schedule may be adjusted as events transpire. All times noted in this RFSQ are local Pacific Time.

QUESTIONS

Questions and requests for clarification related to definition or interpretation of this RFSQ must be submitted by email to Cameron Glass, the County’s Consulting Project Manager, by the date and time identified above. Questions received after this deadline will not be answered. Answers to questions will be emailed as an addendum no later than 24 hours prior to the response due date and time.

Email:

cglass@cglcompanies.com

Cameron Glass
Project Director | CGL Companies

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SECTION A

1. PREQUALIFICATION REQUIREMENTS

1.1 PROJECT DESCRIPTION

This project will design and construct a replacement of the existing downtown jail at JLCC facility located at 2584 W. Sandy Mush Road, approximately 10 miles southwest of the City of Merced, on approximately 1.13+/- acres of the greater 140+/- acres of County-owned land. The project includes replacement of the downtown jail with up to a new 256 bed jail at approximately 61,000 SF and staff support building of approximately 5,500 SF. The jail replacement project will be constructed of durable materials suitable for a jail environment (steel, masonry, and concrete) the staff administration building will be built of durable materials suitable for an office building.

Among other requirements, the project and services of the D-BE shall maintain eligibility in the State of California.

The project scope will also include site infrastructure, abatement (if required), and demolition. The project scope will include fully integrated Building Information Modeling (BIM) in all phases of design and construction.

1.1.1 Introduction

The existing downtown jail in Merced County with a current BSCC rated capacity of 189 beds was built in 1968 and is nearing the end of its useful service as a facility to treat individuals in the Merced County justice system. With this aging facility, the county is exploring an option to replace the downtown facility with a new state of the art 256 bed facility designed to treat and care for those in the Merced County justice system.

The County of Merced is funding this project through the county's own funding sources. The funding from the county will be used for the construction of the new 256 bed jail and additional jail staff administration space needed to properly operate the site at the John Latorraca Correctional Center (JLCC) space for providing housing, treatment, and rehabilitation programs and services.

1.1.2 Cost Estimate and Authorization to Proceed

The total construction cost of the new facility is currently estimated to be up to \$60,000,000. The project is expected to be financed through funds from the County. If the funds do not become available or an agreed upon Guaranteed Maximum Price cannot be reached, the project will not be built.

The Design-Build contract will only commit the County to appropriated funds.

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1.2 DEFINITION OF A DESIGN-BUILD ENTITY

A Design-Build Entity (D-BE) is defined by Public Contract Code section 22161(d) as: “Design-build entity’ means a partnership, corporation, limited liability company, joint venture or other legal entity that is able to provide appropriately licensed contracting, architectural, and engineering services as needed pursuant to a Design-Build contract.”

1.3 LISTING OF DESIGN-BUILD MEMBERS

- 1.3.1 The D-BE shall identify and list in Section B, Part I of the Questionnaire, the General Contractor and Architect. The Mechanical Engineer, Electrical Engineer, Mechanical Subcontractor, Security Electronic subcontractor, Detention Equipment subcontractor, and other subcontractors and sub-consultants known at the time of submission are indicated as optional. The D-BE must complete Section B, Part II of the Questionnaire. The General Contractor must complete Section B, Part III of the Questionnaire. The General Contractor and Architect must each complete Section B, Part IV.
- 1.3.2 If the D-BE consists of a member performing both design and construction services (i.e., a General Contractor with a licensed design staff), then that member must complete Part IV for both parties. The D-BE will be scored as, and must qualify as, both General Contractor and Architect.
- 1.3.3 Substitutions. If the D-BE wishes to substitute any Member identified in the Prequalification Document, it may not do so without the express written consent of the County. No conduct on the part of the County shall be interpreted as providing implied consent to substitution. No such approval will be granted unless the Member is proposed to be replaced by a firm of equal or higher qualification and is subject to approval of the County. Upon notice of a substitution, the County reserves the right to: 1) rescore the Prequalification Document of the D-BE requesting the substitution, and 2) disqualify the D-BE if it is determined that the proposed Member is not “equally or higher qualified.” Should the D-BE feel that they have a proposed “equally or higher qualified” Member, it is still at the sole discretion of the County to determine “equally or higher qualified.”
- 1.3.4 Services of other subcontractors or sub-consultants not listed and in excess of one-half of 1 percent of the GMP (Guaranteed Maximum Price) must be procured by a public proposal process (Public Contract Code section 22166(b)), subsequent to award of a contract. This public proposal process shall provide: 1) announcement in a legal newspaper for minimum of one (1) day, 2) fixed date and time of public opening of proposals to be held in Merced County at a location to be determined by the Merced County Director of Public Works, and 3) D-BE will select on the basis of its determination of best value.

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2. RFSO PROCESS

2.1 PROCESS OVERVIEW

2.1.1 The RFSQ will be made available by downloading from the County's Public Works Administration webpage at: <http://www.countyofmerced.com/publicworks/> It is the D-BE's responsibility to periodically check the website for addenda that may be issued to implement changes or clarification to the RFSQ prior to the Due Date and Time.

2.1.2 Documents provided by the criteria team are subject to the following disclaimer:

Neither the County nor any of its employees, agents, advisors, or representatives has made or makes any representation or warranty, express or implied, as to the accuracy or completeness of the Diligence Material. Without limiting the generality of the foregoing, the Diligence Material may include certain assumptions, statements, estimates, and projections provided by or with respect to the County. Such assumptions, statements, estimates, and projections reflect various assumptions made by the County, which assumptions may or may not prove to be correct. No representations are made by the County as to the accuracy of such assumptions, statements, estimates, or projections.

2.1.3 All contacts from your organization related to this RFSQ or your Prequalification Document must be directed by email to the County's Consulting Project Manager. You should not attempt to contact any other County personnel or members of the project team about this RFSQ unless authorized by the County's Consulting Project Manager.

2.1.4 If required, written addenda to the RFSQ will be issued to provide clarifications, corrections, or to answer questions, and will be posted online at the County's website identified above.

2.1.5 Prequalification Documents will be evaluated by a Qualification Evaluation Committee (QEC) composed of County staff and the County's Consulting Project Manager.

2.1.6 The County of Merced Department of Public Works will notify all Respondents and post a "Notice of Prequalification" for five (5) business days after receipt and approval of the QEC's recommendation. The Department of Public Works will notify all Respondents of the status of their Prequalification Document prior to posting the "Notice of Prequalification."

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2.2 SUBMISSION OF PREQUALIFICATION DOCUMENT

- 2.2.1 Prequalification Documents must be submitted and received at the front desk of the County's Project Managers Office by the Due Date and Time specified in the cover letter to this RFSQ.
- 2.2.2 It is understood and agreed upon by the Respondent in submitting a Prequalification Document that the County has the right to withhold all information regarding this prequalification until after contract award. To the extent the Public Records Act, California Government Code section 6250 and following, requires, the qualifications evaluation report shall become a public record after contract award. The report may include a general description of the Respondent's financial condition. Respondents acknowledge that they have the right to only review that portion of the report that pertains to them prior to contract award. Information releasable after contract award is subject to the disclosure requirements of the Public Records Act, except as otherwise provided by Public Contract Code section 22164(b)(4)(B).
- 2.2.3 D-BEs shall provide all materials required in this RFSQ.
- 2.2.4 The Prequalification Document shall provide clear and sufficient detail to enable the QEC to evaluate the responsiveness and quality of the proposal to each of the RFSQ requirements listed in "Submittal Requirements." Although some factors are weighted more important than others, all factors are considered necessary for an acceptable submittal.

2.3 EVALUATION AND PREQUALIFICATION

- 2.3.1 Prequalification Documents will be evaluated based upon the information provided in response to the "Submittal Requirements" and "Evaluation of Prequalification Documents" and any other information known to the County. This information may be provided by written material, electronic means, or oral presentations.
- 2.3.2 In the unlikely event of a tie that does not allow the County to have a top 3 team list, the County reserves the right to require a phone or in person interview to come to a top 3 list of DBE teams. The interviews, if required, will be for the purpose of assisting the County in evaluating the D-BE's qualifications as outlined herein and developing a top 3 respondent list.
- 2.3.3 The County reserves the right to request clarification and/or request additional information from D-BEs if necessary. Such clarifications and/or additional information shall be submitted by the D-BEs as an addendum to the Prequalification Document upon request of the County's Consulting Project Manager. The fact that the County may request such clarification from one D-BE does not obligate it to seek similar clarification from any other D-BE.
- 2.3.4 Upon receipt and approval of the QEC's recommendation of up to the three (3) highest qualifying scores, the Department of Public Works will post a "Notice of

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Prequalification” for five (5) business days. The “Notice of Prequalification” will identify up to the three (3) D-BEs that will be eligible to participate in the RFP process.

2.4 SIGNATURE

Prequalification Documents shall be certified by an authorized officer or employee of the submitting organization who has actual authority to contractually bind the organization. The name and title of the authorized officer or employee, and the address, phone, and fax number of the organization shall be included.

2.5 PROPRIETARY INFORMATION

All response documents become the property of the County and subject to Public Records Act requirements of California Government Code section 6250 and following. Information provided will be kept confidential to the extent permitted by law, including, but not limited to, Public Contract Code section 22164(b)(4)(B).

2.6 UNNECESSARILY ELABORATE INFORMATION

Unnecessarily elaborate brochures, visual or other presentations, artwork, and paper and binding beyond those sufficient to present a complete and effective Prequalification Document are neither necessary nor desired.

2.7 COUNTY COMMITMENT

- 2.7.1 The County shall have the right to reject or accept any Prequalification Document or any part thereof for any reason whatsoever, at its sole discretion.
- 2.7.2 This RFSQ does not commit the County to issue a RFP, award a contract, nor does it commit the County to pay any cost incurred in the submission of the Prequalification Document or attachments, nor procure or contract for services or supplies. Further, no reimbursable cost may be incurred in anticipation of selection or a contract award.
- 2.7.3 The County reserves the right to terminate this RFSQ at any time.
- 2.7.4 No prior, current, or post-award verbal conversation or agreement(s) with any officer, agent, or employee of the County shall affect or modify any terms or obligations of this RFSQ, or any contract resulting from this procurement.
- 2.7.5 The County reserves the right to waive any informality or irregularity in the Prequalification Documents submitted.

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2.8 LATE, MODIFIED, OR WITHDRAWN PREQUALIFICATION DOCUMENT

- 2.8.1 Late submissions of Prequalification Documents cannot be considered unless they are the only one received, there was mishandling on the part of the County staff, or weather causes a delay. Delays of delivery due to weather may be accepted provided DBE can provide proper documentation of this weather event and that DBE made all attempts to assure delivery would have occurred at the Due Date and Time. Prequalification Documents must be received at the County's Project Managers Office by the identified Due Date and Time.
- 2.8.2 Any modification of a Prequalification Document is subject to the same conditions as the initial submission.
- 2.8.3 Prequalification Documents may be withdrawn by written notice received at any time prior to the closing of the RFSQ. Request for Statements of Qualification may be withdrawn in person by a D-BE or its authorized representative, provided the authorized representative's identity is made known.

2.9 NON-RESPONSIVE SUBMISSIONS

Any Prequalification Document may be construed as non-responsive and ineligible for consideration if it does not comply with the requirements of the RFSQ. Failure to comply with the format and acknowledgment of receipt of addendum are common causes for holding a submittal non-responsive.

2.10 KNOWLEDGE OF RFSQ AND CONDITIONS

Before submitting a Prequalification Document, D-BEs shall carefully read all sections of this RFSQ, including all forms and exhibits, and shall fully inform themselves as to all conditions and limitations.

2.11 DUTY TO INQUIRE

Should a D-BE find discrepancies in, or omissions from, the RFSQ or other documents, or should the D-BE be in doubt as to their meaning, the Respondent shall at once notify the County's Consulting Project Manager in writing by email. Clarifications or corrections will be issued by addendum posted on the County's website. It is the D-BE's responsibility to periodically check the website for such addenda. The County will not be responsible for any oral instructions nor for any written materials provided by any person other than the County's Consulting Project Manager or his/her designee. No response will be provided to questions received after the date posted.

2.12 DEBRIEF

When a D-BE has been notified by the County's Consulting Project Manager that their Prequalification Document is no longer being considered, the D-BE may request a

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“debriefing” solely about the findings on their Prequalification Document (with no comparative information about Prequalification Documents submitted by others).

2.13 NEWS RELEASES

D-BEs shall not issue any news release pertaining to this RFSQ without prior written approval of the Merced Project Representative and the County’s Consulting Project Manager. The County retains the discretion to withhold approval. A minimum of two (2) business days’ notice is required for approval.

2.14 CLAIMS AGAINST THE COUNTY

Neither your organization nor any of your representatives shall have any claims whatsoever against the County or any of its respective officials, agents, or employees arising out of or relating to this RFSQ or these procedures (other than those arising under a contract with your organization in accordance with the terms thereof). The County is not responsible for any costs relating to preparation of a response to this RFSQ for any reason and no such costs are recoverable from the County.

2.15 EVENTS

The timing and sequence of events resulting from this RFSQ shall be determined by the Merced County Project Representative.

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3. SUBMITTAL REQUIREMENTS

3.1 ORGANIZATION REQUIREMENTS

- 3.1.1 Prequalification Document submittals must be organized in the following manner:
- a. A cover letter may be provided at the D-BE's discretion (two-page maximum).
 - b. A table of contents shall be provided (one page).
 - c. A completed Prequalification Questionnaire, Parts I through VI, shall be provided.
 - d. Attachments
 - i. If forming a D-BE partnership or association, a copy of the agreement must be included
 - ii. Evidence of Errors and Omissions Coverage
 - iii. Evidence of General Liability Insurance Coverage
 - iv. Evidence of Workers Compensation Coverage
 - v. Reviewed Financial Statements
 - vi. Notarized Surety Declaration
 - vii. Certification
- 3.1.2 The Prequalification Document must be submitted in a three-ring binder with tabs identifying Parts I through VI of the Prequalification Questionnaire. Attachments must be clearly identified under a separate tab. The front of the binder must indicate the D-BE's name and address in the following format:

RFSQ Reference Code:
Company Name and Address:
Project Name County of Merced Downtown Replacement Jail Design-Build
Project Due Date and Time:

- 3.1.3 Submit one (1) original and one (1) thumb drive (Adobe PDF format, with signature and notarized pages clearly scanned and inserted) of the Prequalification Document with all attachments. Failure to submit the required in the manner noted above may result in a finding of non-conformance.

3.2 WRITTEN REQUIREMENTS

- 3.2.1 The Prequalification Document shall be in the required format with all forms, answers, and attachments sequentially numbered to correspond to the applicable question or requirement.
- 3.2.2 The Prequalification Document shall be typed, be concise, but also comprehensive. The Prequalification Document shall not include promotional material of any kind. The Prequalification Document shall be in accordance with the requirements discussed herein.

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4. EVALUATION OF PREQUALIFICATION DOCUMENTS

4.1 EVALUATION PROCESS

4.1.1 The evaluation process shall follow sequential steps and utilize scoring criteria to ensure that each Prequalification Document is evaluated in the same manner:

- a. **Timely Receipt:** Timely receipt at the County’s Project Managers Office by the Due Date and Time. Delays of delivery due to weather may be accepted provided DBE can provide proper documentation of this weather event and that DBE made all attempts to assure delivery would have occurred at the Due Date and Time.
- b. **Conformance of Prequalification Documents:** Review by the QEC for conformance of Prequalification Documents to submittal requirements.
- c. **Scoring and Ranking:** Scoring and ranking of Prequalification Documents will determine up to the three (3) highest scores.

4.1.2 Each Prequalification Document will be evaluated. Adherence to mandatory criteria will be evaluated first, and only those proposals meeting all mandatory criteria will be evaluated further.

4.1.3 In the unlikely event of a tie for the top 3 highest scores, the County reserves the right to a virtual interview with the teams.

4.2 SCORING AND RANKING

4.2.1 The scoring and ranking is based on evaluation of the Prequalification Document as measured against the scoring criteria. Prequalification Documents will be evaluated and ranked from highest to lowest. The County will identify up to the three (3) highest scores in the following manner:

4.2.1.1 Mandatory Criteria (Pass/Fail)

Provide certification that the answers submitted in the Prequalification Document are true and correct. If certification is not provided, the proposal shall be deemed non-responsive and will not be scored.

Provide Surety Declaration stating that minimum bonding capacity will equal the amount specified for the project. D-BEs who cannot meet minimum bonding capacity will not be deemed qualified.

D-BEs will be disqualified for answers to any question in Part II of the Questionnaire in a manner indicated as “Disqualifying.”

4.2.1.2 Scoring Criteria

Responses to Parts I through VI of the Prequalification Questionnaire will be scored

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with a uniform system of rating.

- 4.2.2 The purpose of the scoring and ranking of the Prequalification Documents is limited to identifying up to three D-BEs with the highest scores that will then be eligible to advance to the second step in the selection process, the issuance of an RFP. The scores of the eligible D-BEs that are established at the conclusion of the Prequalification (RFSQ) phase will not be used in ranking the eligible D-BEs entering into or during the second step in the selection process, the RFP phase (best value).

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SECTION B**

SECTION B

PART I

Information on the DESIGN-BUILD ENTITY (D-BE) and MEMBERS

DESIGN-BUILD ENTITY (Entity with whom the County would have a contract)			
Name:		Check one:	
Address:		<input type="checkbox"/> Corporation*	
		<input type="checkbox"/> Partnership	
Phone:		<input type="checkbox"/> Joint Venture	
Fax:		<input type="checkbox"/> Other Association	
Contact:		Title:	
Address, if different:			
Web Address:			
Phone:		Fax:	

* Under existing California law, Limited Liability Corporations (LLCs) cannot be licensed as contractors or provide professional services for which a license, certification or registration is required unless expressly authorized under provisions of the Business and Professions Code.

MEMBERS				
Discipline	Firm Name	RME/RMO	CA License #	Expiration
General Contractor				
Architect				
*Others				
*Others				
* NOTE: Disciplines that are listed as "Others":	1. Represents a complete listing of all other firms known at the time of bid submission who will participate in the Design-Build contract. 2. Are not subject to the public proposal process identified. 3. Do not have scored questions to complete in Part IV. 4. Cannot be substituted without the consent of the County of Merced.			

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SECTION B**

1. Background Information

1a.	Date of incorporation, formation, or commencement:	
1b.	Under the laws of what state:	

If forming a partnership or association the D-BE must provide a copy of the agreement. This agreement must specify that all partners or association members agree to be fully liable for their performance under the Design-Build contract.

2. For D-BEs that are Corporations

2a. Provide the following information for each person who is either (a) an officer of the corporation (CEO / President / COO), or (b) owner(s) of at least ten percent (10%) of the corporation's stock.

Position	Name	Years with Company / Ownership	% Ownership
CEO			
President			
COO			
Major Shareholders			

2b. Identify every construction firm that any person listed above in question 2a has been associated with (as owner, general partner, limited partner, or officer) at any time during the last five (5) years. NOTE: For this question, "owner" and "partner" refer to ownership of ten percent (10%) or more of the company.

Person's Name	Construction Firm	Dates of Participation

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3. For D-BEs that are Partnerships

3a. Provide all the following information for each partner who owns ten percent (10%) or more of the firm.

Position	Name	Years with Company	% Ownership

3b. Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five (5) years. NOTE: For this question, “owner” and “partner” refer to ownership of ten percent (10%) or more of the business.

Person’s Name	Construction Firm	Dates of Participation

4. For D-BEs that are Joint Ventures

4a. Provide the following information for each firm that is a member of the Joint Venture:

Name of Firm	Name of Owner/Partner/ CEO/President	Years with Co.	% Ownership of Joint Venture

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PART II

Essential Requirements for the DESIGN-BUILD ENTITY

Part II has seventeen (17) questions. The Design-Build Entity (D-BE) will be disqualified for any responses to questions noted below as “Disqualifying.”

1. Each Member of the D-BE possesses their respective valid and current California licenses appropriate for the project for which they intend to submit a proposal.
 Yes No (Disqualifying)
2. The Architect has attached evidence of professional Liability Insurance with a policy limit of at least \$5,000,000.
 Yes No (Disqualifying)
3. The General Contractor has attached evidence of General Liability Insurance with a policy limit of at least \$5,000,000 per occurrence and \$10,000,000 aggregate.
 Yes No (Disqualifying)
4. The General Contractor and the Architect have attached evidence of current Worker’s Compensation Insurance as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.
 Yes No (Disqualifying)
 General Contractor or Architect is exempt because it has no employees
5. The General Contractor has attached a copy of reviewed financial statements with accompanying notes and supplemental information. NOTE: The General Contractor is required to submit reviewed financial statements for the latest fiscal year ended. These financial statements must include a balance sheet, statement of operations and retained earnings, statement of cash flows, and notes to the financial statements conforming to generally accepted accounting principles (GAAP). The financial statements must be reviewed by a certified public accountant (CPA) and must conform to generally accepted auditing standards (GAAS).
 Yes No (Disqualifying)
6. The General Contractor has attached a notarized Surety Declaration from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states that the General Contractor’s current bonding capacity is sufficient for this project. NOTE: The notarized statement must be

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from the surety company, not an agent or broker.

Yes No (Disqualifying)

7. At any time during the last five (5) years, has a surety firm completed a contract on behalf of any member of the D-BE, or paid for completion because the member was default terminated by the project owner?

Yes (Disqualifying) No

8. At the time of submitting this prequalification, is any member of the D-BE ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

Yes (Disqualifying) No

9. At any time during the last five (5) years, has any member of the D-BE, or any of their owners or officers, been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?

Yes (Disqualifying) No

10. Is any member of the D-BE currently the debtor in a bankruptcy case?

Yes (Disqualifying) No

11. At any time during the last five (5) years, was any member of the D-BE in bankruptcy? (This question refers only to a bankruptcy action that was not described in answer to question 10).

Yes (Disqualifying) No

12. At any time during the last five (5) years, has any California Contractors State License Board (CSLB), California Architects Board (CAB), California Board for Professional Engineers and Land Surveyors (BPELS), or an equivalent license from outside of the State of California held by any member of the D-BE or their Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended or revoked?

Yes (Disqualifying) No

13. At any time during the last five (5) years, has any member of the D-BE, or any firm with which any of your company's owners, officers or partners was associated,

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been debarred, disqualified, defaulted on, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason? NOTE: “associated with” refers to another firm in which an owner, officer, or partner held a similar position.

Yes (Explain) No

14. Is the surety to be used for this project authorized by the Insurance Commissioner to transact business of insurance in the State of California?

Yes No (Disqualifying)

15. At any time during the last five (5) years, has any member of the D-BE, or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes (Disqualifying) No

16. At any time during the last five (5) years, has a court or administrative agency made a final determination that any member of the D-BE, individually or collectively with another party, violated any federal or state laws prohibiting the hiring or employment of illegal or undocumented employees?

Yes (Disqualifying) No

17. At any time during the last five (5) years, has Cal/OSHA or an equivalent agency from outside the State of California cited and assessed penalties against any member of the D-BE for any “failure to abate,” “willful” or “repeat” violations of its safety or health regulations? NOTE: If you have filed an appeal of a citation and the Appeals Board has ruled in your favor, you need not include information about it.

Yes (Please Explain) No

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PART III

Questions for the GENERAL CONTRACTOR

Part III has seventeen (17) questions. Responses shall address the question for each party identified in the question.

1. At any time during the last five (5) years, has the General Contractor been assessed or paid liquidated damages resulting from a contract with a public or private owner?
 Yes No
2. At any time during the last three (3) years, has the General Contractor been required to pay a premium of more than one percent (1.00%) for a Performance or Payment Bond on any project?
 Yes No
3. At any time during the last five (5) years, has the General Contractor ever been denied bond coverage by a surety, or has there ever been a period of time when the General Contractor had no bond in place during a public construction project when one was required?
 Yes No
4. Does the General Contractor have a written Injury and Illness Prevention Program (IIPP) that complies with California Code of Regulations, Title 8, sections 1509 and 3203?
 Yes No
5. Does the General Contractor have a written safety program that meets Cal/OSHA requirements?
 Yes No
6. Will the General Contractor have personnel permanently assigned and dedicated to safety on this project?
 Yes No
7. At any time during the last five (5) years, has the federal OSHA cited and assessed penalties against the General Contractor? NOTE: If you have filed an appeal of a citation and the Appeals Board has ruled in your favor, you need not include information about it.
 Yes No

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8. At any time during the last five (5) years, has EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against the General Contractor or the owner of a project on which the General Contractor was the contractor? NOTE: If you have filed an appeal of a citation and the Appeals Board has ruled in your favor, you need not include information about it.

Yes No

9. List the General Contractor's Experience Modification Rate (EMR) (California Worker's Compensation insurance) for each of the past three premium years.

10. At any time during the last five (5) years, has there ever been a period when the General Contractor had employees but was without Worker's Compensation insurance or state-approved self-insurance?

Yes No

11. At any time during the last five (5) years, has there been an occasion in which the General Contractor was required to pay either back wages or penalties for the failure to comply with the state's prevailing wage laws? NOTE: This question refers only to the General Contractor's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

Yes No

12. At any time during the last five (5) years, has there been an occasion in which the General Contractor was penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?

Yes No

13. Does the General Contractor intend to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council for use on this Project if awarded the contract?

Yes No

14. At any time during the last five (5) years, has the General Contractor been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

Yes No

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15. Does the General Contractor have a written Quality Assurance / Quality Control (QA /QC) program?

Yes No

16. Will the General Contractor have personnel permanently assigned and dedicated to QA / QC on this project that have executed this program on prior project(s)?

Yes No

17. At any time during the last five (5) years, have the General Contractor and Architect completed any Design-Build detentions or corrections projects as a team (substantially completed or final completion with final payment are acceptable)? If “yes” provide the following information on each project: description, type, location, owner, contact information, project value. NOTE: Any projects that are included in “Part V Comparable Projects” do not require additional information for a “yes” response.

Yes No

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PART IV

Questions for the MEMBERS of the DESIGN-BUILD ENTITY

Part IV has nine (9) questions. Responses shall be prepared for each of the Members of the D-BE noted below.

The D-BE shall provide responses for the following Members:

- General Contractor
- Architect

1. At any time during the past five (5) years, has there been any change in ownership? If “yes” explain. NOTE: A corporation whose shares are publicly traded is not required to answer this question.

- Yes No

2. Is the Member a subsidiary, parent, holding company or affiliate of another construction, architecture, or engineering firm? If “yes” explain. NOTE: Include information about other firms if one firm owns fifty percent (50%) or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

- Yes No

3. State individual gross revenues for each of the last three completed years.

4. List all California license numbers, classifications and expiration dates currently held. If any of the license(s) are held in the name of a corporation or partnership, list names of qualifying individual(s) listed on state records who meet the experience and examination requirements for each license.

5. At any time during the past five (5) years, has the Member had a claim in excess of \$500,000 filed against them in court or in arbitration concerning the work of the firm? If “yes,” provide the following information for each claim: project name, date of claim, claimant, description, court in which filed, status.

- Yes No

6. At any time during the past five (5) years, has the Member filed a claim in excess of

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\$500,000 against an owner in court or in arbitration concerning work on a project or payment for a contract? If “yes” provide the following information for each claim: project name, date of claim, owner, description, court in which filed, status.

Yes No

7. At any time during the past five (5) years, has the Member had any carrier, for any form of insurance, refuse to renew coverage? If “yes,” provide the following information for each carrier: carrier name, year, form of insurance, reason.

Yes No

8. During the past ten (10) years, how many new and/or renovated corrections facilities, located in the United States, has the Member designed or constructed? Provide the project name, location, and a brief description of each facility.

9. Does the member have experience with OCIP related projects?

Yes No

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PART V

Comparable Projects

The General Contractor and the Architect must each complete and submit Project Data Sheets for two (2) Comparable Projects as evidence of relevant project experience. If the General Contractor and the Architect have both worked on the same project, that project can be submitted as a Comparable Project for both parties. All four (4) Comparable Projects will be scored.

Reference checks will be performed and scored for one (1) Comparable Projects for the General Contractor and for one (1) Comparable Projects for the Architect. Owner Contacts provided will be contacted and asked to provide responses to the Reference Questions.

A Comparable Project has the following required attributes. If a project does not have the following four (4) required attributes, that project will not be scored (zero points) and will not be available to use for Reference Questions:

1. A detention, corrections, or public safety facility with a construction cost of at least fifteen million dollars (\$15,000,000).
2. Located in the United States.
3. Designed in the last ten (10) years (2010 or later).
4. Project involvement not in a “consulting” capacity. For the General Contractor, project involvement must be as the primary, at-risk construction entity. For the Architect, project involvement must be as the Architect of Record, or Partnering Architect.

In addition to the required attributes, a Comparable Project is further scored as having the greatest number of the following desired attributes:

5. Design-Build project delivery.
6. Designed in the last ten (10) years (2010 or later).
7. In construction or completed and occupied.
8. Use of Building Information Modeling (BIM).
9. General Contractor and Architect worked together in a Design-Build agreement.

In addition to the completed Project Data Sheet, the General Contractor and the Architect may attach one (1) single-sided 8.5” x 11” sheet designated as Additional Project Information with information of your choice (examples can include project highlights, photographs, awards received, etc.) Limit one (1) Additional Project Information sheet per Comparable Project.

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Project Data Sheet

1. Project Name:
2. Location:
3. Indicate Comparable Project attributes:
 - Detention, corrections, or public safety facility with a construction cost of at least \$20,000,000
 - Located in the United States
 - Designed in the last ten (10) years (2010 or later)
 - Project involvement not in a “consulting” capacity
 - Design-Build project delivery
 - In construction or completed and occupied
 - Use of Building Information Modeling (BIM)
 - General Contractor and Architect worked together in a Design-Build agreement
4. Provide the following information on the contract for construction:

DESCRIPTION	AT EXECUTION	AT FINAL PAYMENT
Contract Value (in USD)		
Contract Time (in calendar days)		
Contract Contingency (in USD)		(Remaining)

5. Provide the following information on change orders to the contract for construction:

ATTRIBUTABLE TO	TOTAL NO.	NET COST ADDED	NET DAYS ADDED
Contract Documents			
Field Conditions			
Owner Generated Scope			
Regulatory Requirements			
Force Majeure			
TOTALS			

6. Were liquidated or consequential damages assessed or paid on this project?
 - Yes
 - No
7. Are there any unresolved claims remaining between your firm and the owner?
 - Yes
 - No

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8. Were any major corrective actions to work in place required during or after construction?

Yes No

9. Are there any persistent or repetitive warranty callback issues?

Yes No

10. Were all of the owner's original program requirements included in the completed facility?

Yes No

11. Was the project completed on schedule or will be completed on schedule?

Yes No

12. Was the project completed within budget?

Yes No

13. Identify the following members of the project team:

General: _____

Architect: _____

Mechanical Contractor (Optional): _____

Electrical Contractor (Optional): _____

MEP Engineer (Optional): _____

Security Electronic Contractor (Optional): _____

Detention Equipment Contractor (Optional): _____

14. Owner Contact information for Reference Questions:

Owner Contact:

Title:

Address:

City, State, ZIP:

Phone:

E-mail:

Alternate Owner Contact:

Title:

Address:

City, State, ZIP:

Phone:

E-mail:

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Authorization for Release of Information and Waiver of Liability for References

By signing this Authorization, the Design-Build Entity (D-BE), and each if its Members, including those identified as “Others” in Part I, (collectively and individually referred to as “Contractor”) agree as follows:

1. Contractor authorizes any and all individuals who are familiar with Contractor’s work history and performance to provide information to the County of Merced pertaining to Contractor’s performance.
2. Contractor voluntarily waives any and all rights it/he/she may have to privacy and/or confidentiality pertaining to Contractor’s work history and performance insofar as the information is released solely to the County of Merced in its evaluation of Contractor’s suitability as a Design-Build team member for the project.
3. This authorization shall remain valid for one (1) year from the date of signature.
4. Contractor hereby releases the County of Merced and its officers and employees, and any party responding to a reference check, from any claims, damages or liabilities of any kind, that may directly or indirectly result from the use disclosure, or release of such information by any person or party, whether such information is favorable or unfavorable to Contractor, arising from the work reference contemplated by this authorization.

Contractor has read the above, understands its contents, and voluntarily agrees to its terms.

This Authorization must be signed (wet signature or electronically) by all Members of the D-BE, including those identified as “Others” in Part I. A copy of this Authorization will be provided to all individuals and entities solicited for a reference check.

General Contractor
By: _____
Title: _____
Date: _____

Architect
By: _____
Title: _____
Date: _____

Mechanical Engineer (Optional)
By: _____
Title: _____
Date: _____

Electrical Engineer (Optional)
By: _____
Title: _____
Date: _____

(Continued on next page)

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Mechanical Subcontractor (Optional)

By: _____
Title: _____
Date: _____

Detention Equipment Subcontractor (Optional)

By: _____
Title: _____
Date: _____

Security Electronics Subcontractor (Optional)

By: _____
Title: _____
Date: _____

Other (Optional)

By: _____
Title: _____
Date: _____

Other (Optional)

By: _____
Title: _____
Date: _____

Other (Optional)

By: _____
Title: _____
Date: _____

Other (Optional)

By: _____
Title: _____
Date: _____

Other (Optional)

By: _____
Title: _____
Date: _____

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Reference Questions for the GENERAL CONTRACTOR

The following seven (7) questions will be used to conduct reference checks with the Owner Contacts identified on one (1) of the Comparable Projects for the General Contractor. The County will select the one (1) Comparable Projects from among the two (2) projects submitted. The Reference Questions are included in this package for information only. No action is required; however, the General Contractor may provide this information to their designated Owner Contact as a courtesy.

The Owner Contacts will be asked to rate their responses to the following questions on a scale of 1 to 3, with 2 being average, 1 being lowest, and 3 being the highest.

1. How would you rate the experience, leadership, and communication skills of the Project Superintendent provided by the General Contractor?
2. How would you rate the creativity, timeliness, and accuracy of the Project Management Team provided by the General Contractor?
3. How would you rate the management sophistication, the depth of resources, and the systems utilized by the General Contractor?
4. How would you rate the General Contractor's commitment to the project goals and objectives at all phases, including at proposal, during construction, after Substantial Completion, and during the commissioning period?
5. Do you feel the General Contractor appropriately managed the contingencies under their control?
6. How would you rate the General Contractor's ability and commitment to stay within the project budget?
7. How would you rate the General Contractor's ability and commitment to stay within the project schedule?

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Reference Questions for the ARCHITECT

The following six (6) questions will be used to conduct reference checks with the Owner Contacts identified on at least one of the Comparable Projects for the Architect. The County will select the one Comparable Projects from among the two projects submitted. The Reference Questions are included in this package for information only. No action is required; however, the Architect may provide this information to their designated Owner Contact as a courtesy.

The Owner Contacts will be asked to rate their responses to the following questions on a scale of 1 to 3, with 2 being average, 1 being lowest, and 3 being the highest.

In the event that an Owner Contact and Alternate Owner Contact cannot be contacted, Owner Contacts for the third Comparable Project will be contacted for Reference Questions. If only one reference check can be completed, no points will be awarded for the second reference check.

1. How would you rate the experience, leadership, and communication skills of the Project Architect?
2. How would you rate the creativity, timeliness, and accuracy of the team provided by the Architect?
3. How would you rate the management sophistication, the depth of resources, and the systems utilized by the Architect?
4. How would you rate the Architect's commitment to the project at all phases, including during construction, after Substantial Completion, and during the commissioning period?
5. How would you rate the adequacy of investigation and due diligence performed by the Architect in the following areas: program identification, existing conditions evaluation, development of details, product selections, code interpretation?
6. How would you rate the Architect's ability and commitment to stay within the project budget?

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PART VI

Surety Declaration

Provide this declaration to your Surety or Sureties to be used for this project to be signed by an authorized representative and notarized and include with your Prequalification Document. If the entity submitting this prequalification document is a Joint Venture or a Partnership, provide such information for each member or partner.

The undersigned declares under penalty of perjury that the bonding capacity indicated below is true and correct and that this declaration was executed in:

_____ (County), _____ (State) on _____ (Date)

(Signature)

(Name and Title)

(Representing [Surety Name])

(Firm Name)

_____ (Address) _____ (City, State, Zip Code)

_____ (Telephone Number) _____ (Fax Number)

(Email Address)

(ATTACH NOTARIZATION of SURETY REPRESENTATIVE'S SIGNATURE)

Bonding capacity: _____

Provide documentation from your Surety identifying the following:

Name of bonding company/surety:	
Name of Surety agent, address and telephone number:	
Total bonding capacity	
Total available bonding capacity	

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Certification

I, the undersigned, certify and declare that I have read all the foregoing answers submitted in response to the Downtown Replacement Jail Design-Build Project Project Prequalification Document and know their contents.

I declare under penalty of perjury under the laws of the State of California, that the foregoing is true and correct.

On behalf of the Design-Build Entity (D-BE) designated below, I hereby provide an enforceable commitment that the D-BE and its subcontractors at every tier will use a skilled and trained workforce to perform all work on the project or contract that falls within an apprenticeship occupation in the building and construction trades. (See PCC 22164(c)).

Design-Build Entity: _____

Address: _____

Phone: _____ Fax: _____

By: _____

Title: _____

Date: _____

